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**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assignee: Spinal Concepts, Inc.

Applicants: (See Attachment A)

Patent No.: (See Attachment A)

Issue Date: (See Attachment A)

Serial No.: (See Attachment A)

Filed: (See Attachment A)

For: (See Attachment A)

Attorney Docket No.: (See Attachment A)

Certificate of Mailing under 37 CFR §1.8(a):

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail

addressed to as follows:

Commissioner for Patents

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Alexandria, VA 22313-1450

Date of Deposit: August 19, 2004.

Kimberly A. Jorio

Date 8/A/OF

#### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Dear Sir:

Enclosed herewith for the patent application identified in Attachment A, attached herewith, are the following:

- 1. Power of Attorney and Change of Correspondence Address Indication Form with Attachment A listing each Application/Patent No. (6 Pages);
- 2. Statement Under 37 CFR 3.73(b) with Attachment A listing each Application, Patent, Reel and Frame No. (6 Pages);
- 3. Notification of Loss of Entity with Attachment A listing each Application/Patent No. (6 Pages); and
- 4. Return Receipt Postcard.

The Commissioner is herby authorized to charge any additional Filing Fees required under 37 CFR §1.16, as well as any patent application processing fees under 37 CFR §1.17 associated with this communication for which full payment had not been tendered, to Deposit Account No. 01-0025.

Respectfully submitted, Spinal Concepts, Inc.

ABBOTT LABORATORIES

Customer No.: 23492

Telephone: (847) 938-7576 Facsimile: (847) 938-2623

Beth A. Vrioni

Registration No. 39,869 Attorney for Applicants PTO/SB/96 (06-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Spinal Concepts	s, Inc.
Application No./Patent No.: See Attachn	nent A Filed/Issue Date: See Attachment A
Entitled: See Attachment A	
Spinal Concepts, Inc.	, a corporation
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:  1.   the assignee of the entire right, tit	le, and interest; or
2. an assignee of less than the entire The extent (by percentage) of its in the patent application/patent identified	ownership interest is ———— %
A. [r] An assignment from the inventor( in the United States Patent and Tr attached.	s) of the patent application/patent identified above. The assignment was recorded ademark Office at Reel, Frame, or for which a copy thereof is [See Attachment A]
OR	
below:  1. From:  The document was recorded Reel, Frame	of the patent application/patent identified above, to the current assignee as shown  To:  ad in the United States Patent and Trademark Office at  or for which a copy thereof is attached.
2. From:	To: ed in the United States Patent and Trademark Office at
The document was recorde	ed in the United States Patent and Trademark Office at ame, or for which a copy thereof is attached.
Neel, FI	
3. From:	To:to in the United States Patent and Trademark Office at
Reel, F	rame, or for which a copy thereof is attached.
	e chain of title are listed on a supplemental sheet.
[ ] Copies of assignments or other docu [NOTE: A separate copy (i.e., a true submitted to Assignment Division in recorded in the records of the USPT	copy of the original assignment document(s)) must be accordance with 37 CFR Part 3, if the assignment is to be
The undersigned (whose title is supplied	below) is authorized to act on behalf of the assignee.
	Jeffrey R. Binder
Date (512) 918-2700	Typed or printed name
Telephone number	Signature
	Vice President, Spinal Concepts, Inc.
	Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Elica (Named Pa	Erik J Wagner	Erik J. Wagner	6	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Stephen	Stephen	Houchschuler	Stephen Houchschuler	Erik J. Waaner	Erik J. Wagner	Frik J Wagner	Erik J. Wagner	Frik I Wagner	Erik J. Waoner	
	Spinal Fixation System	Spinal Fixation System	Method and Apparatus for	Spinal Fixation	Spinal Fixation System	Method and Apparatus for Spinal Fixation	Method and Apparatus for Spinal Fixation	Adjustable Height Fusion Device	Adjustable Height Fusion	Device	Adjustable Height Fusion Device	Multi-Adjustable-Height Fusion Cage	Multi-Adjustable-Height Fusion Device	Surgical Cable System and Method	Surgical Cable System and			
	5259-00100USC1	5259-00100USD1		5259-00100USD2	5259-00100USO1	5259-00100USP1	5259-00100USP2	5259-00200USC1		5259-00200USD1	5259-00200USO1	5259-00200USP1	5259-00200USP2	5259-00500USD1	5259-00500USD2	5259-00500USO1	5259-00500USP1	
Patent No	5562040	6132430		. <u>)</u>	6416515	6595992	5989250	6576016		6080193	6045579	6641614	6706070	5935133	6391030	5964769	6053921	
Salle Date	5/13/2003	10/17/2000			7/9/2002	7/22/2003	11/23/1999	6/10/2003		6/27/2000	4/4/2000	11/4/2003	3/16/2004	8/10/1999	5/21/2002	10/12/1999	4/25/2000	
Status	Granted	Granted	į	Filed	Granted	Granted	Granted	Granted		Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	100
Filing Date	10/17/2000	6/9/1998		11/4/1999	10/24/1996	10/1/1997	2/20/1998	6/27/2000		9/15/1998	5/1/1997	3/24/1998	4/30/1998	12/15/1998	12/15/1998	8/26/1997	5/26/1998	7/23/1000
Application No. Filing Date:	09/691279	09/093756		09/434324	08//40123	08/942325	09/026711	09/605200		09/1531/8	08/847172	09/046759	09/070116	09/211887	09/211888	08/919127	09/085186	09/360062

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FirstNamedar	Erik J. Wagner	Erik I Wanner	Frik J. Wagner	Richard D. Guyer	John R. Hurlbert	Stephen Houchschuler	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico
	System and Method for Stabilizing the Human Spine with a Bone Plate	System and Method for Stabilizing the Human Spine with a Bone Plate	System and Method for Stabilizing the Human Spine with a Bone Plate	Pedicle Probe and Depth Gage	Occipito-Cervical Stabilization System and Method	Bone Protector and Method	Side Mounted Polyaxial Pedicle Screw	Side Mounted Polyaxial Pedicle Screw	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism
Attorney Docket No.	5259-00600USC1	5259-00600USP1	5259-00600USP2	5259-00700USO1	5259-01200USO1	5259-01300USO1	5259-02100USC1	5259-02100USO1	5259-02200USC1	5259-02200USO1	5259-02300USC1	5259-02300USD1
. 0		6454769	6030389	5928243	6146382	6099527	6063089	5776135	6139548	5752955	5961518	6017344
Ssue Date:   Patentin		9/24/2002	2/29/2000	7/27/1999	11/14/2000	8/8/2000	5/16/2000	7/7/1998	10/31/2000	5/19/1998	10/5/1999	1/25/2000
Status:	Filed	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted
EllingiDate	12/26/2001	6/2/1998	6/10/1998	7/16/1997	9/23/1998	4/30/1998	4/13/1998	12/23/1996	4/13/1998	10/30/1995	4/30/1998	3/4/1999
Application No: FilingiDate Status	10/036012	09/089027	09/095290	08/896281	09/159303	09/070323	09/059162	08/772407	09/059108	08/549977	09/070234	09/261977

Application No. Filing Date	Fillng)Date	Status	Ssue Date   Patentin	Stall V. S. S. B. P. B.	Ariometypodeen		FIEW FIRM	Assignmentarics (pranto) ?
08/856773	5/15/1997	Granted	7/28/1998	5785711	5259-02300USO1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P	Kecolvaton Date: Her Kark
					100000000000000000000000000000000000000	Colored Cocking Medianism	000	003275/0021 Recorded 6/30/98
						Polyaxial Pedicle Screw Having a Compression		
08/880810	6/23/1997	Granted	9/22/1998	5810819	5259-02300USP1	Locking Rod Gripping Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
	_					Polyaxial Pedicle Screw		
0000						Having a Compression Locking Rod Gripping	Joseph P.	
09/070208	4/30/1998	Granted	12/7/1999	5997539	5259-02300USP2	Mechanism	Errico	009275/0021 Recorded 6/30/98
08/622891	3/29/1996	Granted	8/5/1997	5653763	5259-02500USO1	Intervertebral Space Shape Conforming Cage Device	Joseph P. Errico	009490/0692 Recorded 10/15/98
						Compression Locking		
08/567144	12/4/1995	Granted	9/16/1997	5667507	5259-02600USO1	Device for Use with Dual Rod Apparatus	lames P Frrico	Frico 9490/0692 Recorded 10/6/08
						Advanced Compression Locking Variable Length	a	
08/632561	4/15/1996	Granted	1/20/1998	5709684	5259-02600USP1	Cross-Link Device	Errico	9490/0692 Recorded 10/5/98
09/229297	1/13/1999	Granted	9/26/2000	6123707	5259-03000USO1	Reduction Instrument	Erik J. Wagner	009840/0875 Recorded 3/2/99
09/298269	4/23/1999	Granted	9/3/2002	6442814	5259-03100USO1	Apparatus for Manufacturing	Frik   Wagner	0.0000000000000000000000000000000000000
09/369964	8/6/1999	Granted	5/6/2003	6557226	5259-03100USP1	Apparatus for Manufacturing		010347/0747 Recorded
09/532387	3/22/2000	Granted	5/20/2003	6565566	5259-03600USO1	Sacral Screw Assembly and Method	Erik J. Wagner	011073/0752 Recorded 0/13/00
10/015206	11/19/2001	Filed			5259-03700USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	01073200443 Beneficial ADDINO
						System and Method for Stabilizing the Human Spine		
10/735976	12/15/2003	Filed			5259-03700USC2	with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00

Application No. Filing Date: Status	Filling Date	Status	Issue Date: Patent N	Patent No.	Attorney Docket No.	Tilder to the second se	First Named Set 19	FirstNamed - 1 Assignment/ResVirrumento
09/479458	1/6/2000	Granted	12/18/2001	6331179	5259-03700USO1		Jim Freid	01073270443 Recorded 4/20/00
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	5 6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E.	012032/0293 Recorded 7/31/01
10/387329	3/11/2003	Filed			5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E.	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed			5259-04500USO2	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	Robert J. Jones 012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed			5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed			5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueger	014157/0620 Recorded 6/6/03
10/200024	7/19/2002	Filed			5259-07900USO1	Spinal Stabilization System and Method	Margaret E.	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03

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Application No. Filing Date	Filing Date	Status	Issue Date	Patent No.	Retentivo Attomay Docketivo	III de estados		Assignment (690) rango (690)
v						Instruments and Methods for	Javier Garcia-	
10/291223	11/8/2002	Filed			5259-08300USO2	Inserting a Spinal Implant	Bengchoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1	Spinal Plate Extender System and Method	lim Freid	014181/0907 Recorded 6/16/03
						Spinal Plate System for	3	
10/361282	1 (2)	i.				Stabilizing a Portion of a		
10/351283	1/23/2003	Filed			5259-09900USO1	Spine	Jim Freid	014397/0674 Recorded 8/14/03
				,		Spinal Plate System for		
10/351288	1/24/2003	Filed		* 2 24	5259-09900USO2	Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
						Bone Fastner Assembly for		
						a Spinal Stabilization	Michael E.	Assignment filed 4/2/04
10/698049	10/30/2003	Filed			5259-10700USO1	System	Landry	Reel/Frame not yet received
						Spinal Stabilization Systems		
						and Methods Using		
						Minimally Invasive Surgical	Michael E.	Assignment filed 3/30/04
10/698010	10/30/2003	Filed			5259-10700USO2	Procedures	Landry	Reel/Frame not yet received
		i				zation Systems	Michael E.	Assignment filed 3/29/04
10/69/193	10/30/2003	Filed			5259-10700USO3	and Methods	Landry	Reel/Frame not yet received
						Spinal Stabilization System	Michael E.	Assignment filed 3/31/04
10/698046	10/30/2003	Filed			5259-10800USO1	Using Flexible Members	Landry	Reel/Frame not yet received
		i					James F.	Assignment Filed 3/12/2004;
10/698966	10/31/2003	Filed			5259-11100USO1	Spinal Disc Implant	Marino	Reel/Frame not yet received
0.000	9	i					David J.	Assignment filed 4/2/04
10/0880/01	10/31/2003	Filed			5259-11300USO1	Movable Disc Implant	Krueger	Reel/Frame not yet received
40004		i					Reginald J.	
10/33/19/	12/2//2002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Davis	014164/0207 Recorded 6/12/03

Paperwork 8

PTC/SB/81 (06-04)
Approved for use through 11/30/2005. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

#### **POWER OF ATTORNEY** and **CORRESPONDENCE ADDRESS INDICATION FORM**

Act of 1995, no persons are re	quired to respond to a collection of info	ormation unless it displays a valid OMB control number.
· · · · · · · · · · · · · · · · · · ·	Application Number	See Attachment A
TTORNEY	Filing Date	See Attachment A
· · OMIL ·	First Named Inventor	See Attachment A
CE ADDDESS	Title	See Attachment A
CE ADDRESS	Art Unit	
FORM	Examiner Name	
	Attorney Docket Number	See Attachment A

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I hereby appoint:		<del></del>
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as my/our attorney(s) or agent(s) to prosecute the application i Trademark Office connected therewith.	entified above, and to transact all busine	ss in the United States Patent and
Trademaik Once Connected therewith.		
Please recognize or change the correspondence address for the	above-identified application to:	
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Lam the:	Trax /	
Applicant/Inventor.		
		ı
Assignee of record of the entire interest. See 37 CFR 3 Statement under 37 CFR 3.73(b) is enclosed. (Form F		<del>-</del>
SIGNATURE of Applicant or Assignee of Record (if assig		n the "Name" space below)
Name Jeffrey R. Binder		
Signature XV MM		
Date 7 23 ~	Telephone	(512) 918-2700
NOTE: Signatures of all the inventors or assignees of record of the entire forms if more than one signature is required, see below*.	nterest or their representative(s) are required.	Submit multiple
*Total of forms are submitted.		

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application/No Filing Date Status	Filling Date	Status	Issue Date	Patrimino	Attorney hocket No.		Pilos (Named)	FirstNamed 76 AssignmentiReeliffzmerNorg
09/691279	10/17/2000	Granted	5/13/2003	6562040	5259-00100USC1	Spinal Fixation System	Erik J. Wagner	Necordadoni Date in the color of the color o
09/093756	6/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System		008359/0658 Recorded 1/21/97
·						Method bod bodies		008359/0658 Recorded 1/21/97; 008802/0192 Recorded
09/434324	11/4/1999	Filed			5259-00100USD2	Spinal Fixation	Erik J. Wagner	12/31/97; 014210/0603 Recorded 6/26/03
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
08/942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded 12/31/97
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	014210/0603 Recorded 6/26/03
09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98: 008756/0146 Recorded 10/14/97
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98
08/847172	5/1/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded 10/14/97
09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983 Recorded 8/24/98
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98 009874/0023 Recorded 4/2/99
09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094 Recorded 11/22/99

Application/No Filling Date   Status	Fillng Date	Status	ssue Date	Parantino	Attomey Docket No.		FILES (Nambed News)	Assignments Restraction (1)
10/036012	12/26/2001	Filed			5259-00600USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Waqner	009450/0959 Recorded 9/11/98
08/896281	7/16/1997	Granted	7/27/1999	; 5923243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guyer	008932/0159 Recorded 1/30/98
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	Occipito-Cervical Stabilization System and Method	John R. Hurlbert	0096667/0352 Recorded 12/23/98
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/261977	3/4/1999	Granted	1/25/2000	6017344	5259-02300USD1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

Assignment/Reel/Frame/No. R.	Necoluation Damanies Companies Compa	009275/0021 Recorded 6/30/08	009275/0094 Red 6/30/08	009490/0692 Recorded 10/15/98	Errico 9490/0692 Recorded 10/5/98	9490/0692 Recorded 10/5/98	009840/0875 Recorded 3/2/99	010085/0138 Recorded 7/12/99	010347/0747 Recorded 10/29/99	011073/0752 Recorded 9/13/00	010732/0443 Recorded 4/20/00	010732/0443 Recorded 4/20/00
Films Named Bek	Joseph P.	Joseph P.	Joseph P.	Joseph P.	James P. Errico		Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Jim Freid	Jim Freid
	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Intervertebral Space Shape Conforming Cage Device	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Advanced Compression Locking Variable Length Cross-Link Device	Reduction Instrument	Apparatus for Manufacturing a Bone Dowel	Apparatus for Manufacturing a Bone Dowel	Sacral Screw Assembly and Method	System and Method for Stabilizing the Human Spine with a Bone Plate	System and Method for Stabilizing the Human Spine with a Bone Plate
Ariomey Docker No.		5259-02300USP1	5259-02300USP2	5259-02500USO1	5259-02600USO1	5259-02600USP1	5259-03000USO1	5259-03100USO1	5259-03100USP1	5259-03600USO1	5259-03700USC1	5259-03700USC2
Paraneno	578571	5810819	5997539	5653763	5667507	5709684	6123707	6442814	6557226	6565566		
Issue Date	7/28/1998	9/22/1998	12/7/1999	8/5/1997	9/16/1997	1/20/1998	9/26/2000	9/3/2002	5/6/2003	5/20/2003		
Status	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Filed	Filed
Filling Date	5/15/1997	6/23/1997	4/30/1998	3/29/1996	12/4/1995	4/15/1996	1/13/1999	4/23/1999	8/6/1999	3/22/2000	11/19/2001	12/15/2003
Application No: Filling: Date:   Status	08/856773	08/880810	09/070268	08/622891	08/567144	08/632561	09/229297	09/298269	09/369964	09/532387	10/015206	10/735976

Application/Nov Filling Date:   Status	Filling Date		Sello Dato	Section Date of Decision of the Property of the Party of			FilsfNamede	Assignment Reed Frame NOTE
09/479458	1/6/2000	Ě	12/18/2001	6331179	5259-03700USO1	System and Method for Stabilizing the Human Spine with a Bone Plate	Inventors (1975)	Recordation Date (2011) 1997
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E.	012032/0293 Recorded 7/31/01
10/387329	3/11/2003	Filed		Ser Z	5259-04500USO1	n and Implanting Devices	Michael E.	10.000 Behavior D. 10.000 B. 10.000
10/387363	3/11/2003	Filed			5259-04500USO2	Đ.	Michael E.	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	Robert J. Jones 012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed		-	5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed			5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueger	014157/0620 Recorded 6/6/03
10/200024	7/19/2002	Filed			5259-07900USO1	Spinal Stabilization System and Method	Margaret E.	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03

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Application Not	Filling Date:	Status	Issue Date   Patentin	Patent:No:	o. Attorney Docket No.	THEFT	CONTRACTOR INVENTOR OF THE	Recordation Date No.
		7				Instruments	lavier Garcia	
10/291223	11/8/2002	Filed			5259-08300USO2	Inserting a Spinal Implant	Bengchoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1	Spinal Plate Extender System and Method	Jim Freid	014181/0907 Recorded 6/16/03
						Spinal Plate System for		
10/351283	1/23/2003	Filed			5259-09900USO1	Stabilizing a Portion of a Spine	Lian Freid	014307/0674 Decembed 8/14/02
						Spinal Plate System for		
407254200	40000	i			•	Stabilizing a Portion of a		
10/321266	1/24/2003	Filed			5259-09900USO2	Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed		स्थानक <u>.</u> व	5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
						Bone Fastner Assembly for		
						a Spinal Stabilization	Michael E.	Assignment filed 4/2/04
10/09/0049	10/30/2003	Filed			5259-10700USO1	System	Landry	Reel/Frame not yet received
-						Spinal Stabilization Systems		
						and Methods Using		
10/698010	10/20/2002	3 - 1				Minimally Invasive Surgical	Michael E.	Assignment filed 3/30/04
01000001	10/20/2003	Filed			5259-10700USO2	Procedures	Landry	Reel/Frame not yet received
10/607703	40,000	i.				Spinal Stabilization Systems	Michael E.	Assignment filed 3/29/04
10/03/193	10/30/2003	Liled			5259-10700USO3	and Methods	Landry	Reel/Frame not yet received
	_					Spinal Stabilization System	Michael E.	Assignment filed 3/31/04
10/698046	10/30/2003	Filed			5259-10800USO1	Using Flexible Members	Landry	Reel/Frame not yet received
10,60000	7000	i					James F.	Assignment Filed 3/12/2004;
10/030300	10/31/2003	Filed			5259-11100USO1	Spinal Disc Implant	Marino	Reel/Frame not yet received
10/600618	10/31/2003	7					David J.	Assignment filed 4/2/04
00000	10/31/2003	Dail			5259-11300USO1	Movable Disc Implant	Krueger	Reel/Frame not yet received
10/221101	42,027,0000	į					Reginald J.	
10/00/191	12/2/12/002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Davis	014164/0207 Recorded 6/12/03

**PATENT** 

#### Applicant: (See Attachment A)

Application Number: (See Attachment A)
Application Filing Date: (See Attachment A)

Patent Number: (See Attachment A)
Patent Issuance Date: (See Attachment A)
Invention Title: (See Attachment A)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY (37 C.F.R. § 1.27(g)(2))

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- 1. Applicant asserted small entity status on the applications listed on Attachment A, on the dates listed on Attachment A, by payment of the basic filing fee as a small entity (37 C.F.R. § 1.27(c)(3)).
- 2. Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.

Beth A. Vrioni, Reg. No. 39,869

Date: August 19, 2004

Signature

Assignee:

Person authorized to sign on behalf of assignee

Spinal Concepts, Inc. 5301 Riata Park Court

Bldg. F

Austin, TX 78727

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a)** 

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 with sufficient postage as first class mail.

Eleganical L

Date: 6 19 04

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Kimberly A. Iorio

# AUB 2.3 MOM EN LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

ApplicationiNol Filing Date:   Status Filip   Issue   Patentino	Filingi Date	Status	Serie Dates	Patent No.	Attorney Docker No		First Named)	Assignment Reell Frame No. 3
09/691279	10/17/2000	Granted	5/13/2003	6562040	5259-00100USC1	Spinal Fixation Syst	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/093756	6/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
								008359/0658 Recorded 1/21/97;
09/434324	11/4/1999	Filed		-	5259-00100USD2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded 12/31/97; 014210/0603 Recorded 6/26/03
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
08/942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	014210/0603 Recorded 6/26/03
09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded
08/847172	5/1/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded 10/14/97
09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983 Recorded 8/24/98
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98 009874/0023 Recorded 4/2/99
09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094 Recorded 11/22/99

## LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

Application No. Filing Date: Status True Issue Date: Patent No	Elling Date	Status	Ssue Date	Patent No	# Y F F F F F F F F F F F F F F F F F F	Tidolistic in the second s	First Named F	FirstNamed     Assignment(Reel/Frame)No.8
10/036012	12/26/2001	Filed			5259-00600USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Waqner	009450/0947 Recorded 9/11/98
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	T	009450/0959 Recorded 9/11/98
08/896281	7/16/1997		7/27/1999	5928243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guver	008932/0159 Recorded 1/30/08
08/265005	6/23/1994	Granted	4/16/1996	5507211	5259-01000USO1	Releasable Socket	Erik J. Wagner	
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	Occipito-Cervical Stabilization System and Method	John R. Hurlbert	0096667/0352 Recorded 12/23/98
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

## LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

Application No. Filing pates	Status	issuol Date	atent No.	Attorney Docketinor Titler		FirstNameda:	Assignment Reel/Framol No. B. Recordation Date 15 15
Granted	nted	1/25/2000	6017344	5259-02300USD1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
Sign	Granted	7/28/1998	5785711	5259-02300USO1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
5	Granted	9/22/1998		5259-02300USP1		Joseph P. Errico	009275/0021 Recorded 6/30/98
ၓ်	Granted	12/7/1999	5997539	5259-02300USP2	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
ত	Granted	8/5/1997	5653763	5259-02500USO1	shape rice	Joseph P. Errico	009490/0692 Recorded 10/15/98
ত	Granted	9/16/1997	5667507	5259-02600USO1	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	James P. Errico	James P. Errico 9490/0692 Recorded 10/5/98
<u></u> 5	Granted	1/20/1998	5709684	5259-02600USP1	Advanced Compression Locking Variable Length Cross-Link Device	Joseph P. Errico	9490/0692 Recorded 10/5/98
_ত	Granted	9/26/2000	6123707	5259-03000USO1	Reduction Instrument	Erik J. Wagner	009840/0875 Recorded 3/2/99
ပ	Granted	9/3/2002	6442814	5259-03100USO1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010085/0138 Recorded 7/12/99
ပ	Granted	5/6/2003	6557226	5259-03100USP1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010347/0747 Recorded 10/29/99
	Granted	5/20/2003	6565566	5259-03600USO1	Sacral Screw Assembly and Method	Erik J. Wagner	011073/0752 Recorded 9/13/00

## LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

Application No. Filling Date: Status	Fillingibate		issue Date	Patent No.	or Attorney Docket No.	THOUSE THE THE	First Named	Assignment/Recl/FrameINo. 8. Recordation Date
10/015206	11/19/2001	Filed			5259-03700USC1	nod for uman Spine e	Jim Freid	010732/0443 Recorded 4/20/00
09/479458	1/6/2000	Granted	12/18/2001	6331179	5259-03700USO1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02
10/387329	3/11/2003	Filed		t est t	5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed			5259-04500USO2	6	Michael E. Landry	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	Robert J. Jones 012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed			5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed			5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueger	014157/0620 Recorded 6/6/03

# LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

ication No.	Application No. Filing Date: Status	smes	Issue Date:   Patent	13000	O.   Attorney Docker No.   Tide		First Named 14	
10/200024	7/19/2002	Filed			5259-07900USO1	zation	Margaret E. Mitchell	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03
10/291223	11/8/2002	Filed			5259-08300USO2	Instruments and Methods for Javier Garcia- Inserting a Spinal Implant Bengchoa	Javier Garcia- Bengchoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1	Spinal Plate Extender System and Method	Jim Freid	014181/0907 Recorded 6/16/03
10/351283	1/23/2003	Filed		TWI	5259-09900USO1	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014397/0674 Recorded 8/14/03
10/351288	1/24/2003	Filed			5259-09900USO2	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
10/035052	12/28/2001	Granted	5/18/2004	6736850	5259-12300USO1	Pseudo Arthrosis Device	Reginald J. Davis	
10/331191	12/27/2002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Reginald J. Davis	014164/0207 Recorded 6/12/03